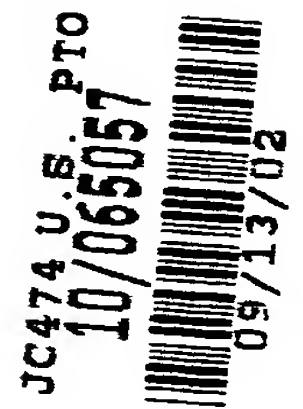


Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID:	17888
Application ID:	10065057
Title of Invention:	ELECTROPOLISHING SOLUTION AND METHODS FOR ITS USE AND RECOVERY
First Named Inventor:	Joseph Zhu
Domestic/Foreign Application:	Domestic Application
Filing Date:	null
Effective Receipt Date:	2002-09-13
Submission Type:	Utility Patent Filing
Filing Type:	new-utility
Confirmation Number:	0
Attorney Docket Number:	RD28753-1
Digital Certificate Holder:	cn=John Buchanan, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US
Certificate Message Digest:	cGK9322Tu95NjEmdB/BWBQ==
Total Fees Authorized:	\$1212.0
Payment Category:	DA - Deposit Account
Deposit Account Number:	61130
Deposit Account Name:	J. Michael Buchanan





TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Utility Patent
Filing

Attorney Docket
Number:

RD28753-
1

ELECTROPOLISHING SOLUTION AND METHODS FOR ITS USE AND RECOVERY

First Named Inventor: Joseph Shoulian Zhu

SUBMITTED BY

Name:	J. Michael Buchanan
Registration Number:	44,571
Electronic Signature Mark: jmb	Date Signed: 20020913

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

declaration	DEC_1_1.tif
declaration	DEC_1_2.tif
declaration	DEC_1_3.tif

declaration	DEC_2_1.tif
declaration	DEC_2_2.tif
declaration	DEC_2_3.tif
declaration	DEC_3_1.tif
declaration	DEC_3_2.tif
declaration	DEC_3_3.tif
declaration	DEC_4_1.tif
declaration	DEC_4_2.tif
declaration	DEC_4_3.tif
specification	RD28753-1.APP.xml
bibd-transmittal	Epaveapds.xml
fee-transmittal	Epavefee.xml
patent-assignments	Epaveasgn.xml

Attached Image File(s):

DEC_1_1.tif
 DEC_1_2.tif
 DEC_1_3.tif
 DEC_2_1.tif
 DEC_2_2.tif
 DEC_2_3.tif
 DEC_3_1.tif
 DEC_3_2.tif
 DEC_3_3.tif
 DEC_4_1.tif
 DEC_4_2.tif
 DEC_4_3.tif

Comments:

Docket No. RD2875

**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if several names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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☐ was filed on _____ as Application Serial No. _____
☐ or PCT International Application Number _____ and was amended on _____
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COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. PARENT APPLICATION OR PCT PARENT NUMBER	PARENT FILING DATE (day, month, year)	STATUS (patent and number, pending, abandoned)

Docket No. RD28753-1

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER(S)	FILING DATE (day, month, year)

As a named inventor, I hereby appoint Practitioners at Customer Number 23413; Christian G. Cabou (Reg. No. 35,467), Jill M. Breedlove (Reg. No. 32,684), Donald S. Ingraham (Reg. No. 33,714), Noreen O. Johnson (Reg. No. 38,929), Patrick K. Patnode (Reg. No. 40,121) all of General Electric Company, One Research Circle, Bldg. K-1, Niskayuna, NY 12309; Ronald E. Myrick (Reg. No. 26,315), Henry A. Policinski, (Reg. No. 26, 621); Scott R. Hayden, (Reg. No. 41,821) and Catherine Winter, (Reg. No. 38,364) all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431-0001, jointly, and each of them severally, my/our attorney(s) or agents(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, statements were made with the knowledge that willfully false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that all such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor: **Joseph Shoulian Zhu**

Inventor's signature: Joseph Shoulian Zhu Date: 9/10/02

Residence: 3900-1102 Oldfield Crossing Drive, Jacksonville, FL 32223

Citizenship: USA

Post Office Address: 3900-1102 Oldfield Crossing Drive, Jacksonville, FL 32223

Full name of second joint inventor: **Bang Mo Kim**

Inventor's signature: _____ Date: _____

Residence: 1505 Wheatly Court, Schenectady, NY 12309

Citizenship: USA

Post Office Address: 1505 Wheatly Court, Schenectady, NY 12309

Docket No. RD287524

Full name of third joint inventor: **Jeffery L. Schworm**

Inventor's signature: _____ Date: _____

Residence: 8840 State Highway 30, Delanson, NY 12053Citizenship: USAPost Office Address: 8840 State Highway 30, Delanson, NY 12053Full name of fourth joint inventor: **Kevin C. Green**

Inventor's signature: _____ Date: _____

Residence: 21 Division St., Rutland, VT 05701Citizenship: USAPost Office Address: 21 Division St., Rutland, VT 05701Full name of fifth joint inventor: **Paul Gullmette**

Inventor's signature: _____ Date: _____

Residence: 164 North Church St., Rutland, VT 05701Citizenship: USAPost Office Address: 164 North Church St., Rutland, VT 05701

Docket No. RD28753-1

**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

As a below-named inventor, I hereby declare that.

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ELECTROPOLISHING SOLUTION AND METHODS FOR ITS USE AND RECOVERY

- ☒ the specification of which is attached hereto OR
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☐ or PCT International Application Number _____ and was amended on _____
(if applicable).

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COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED	
				Yes	No
				Yes	No
				Yes	No

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. PARENT APPLICATION OR PCT PARENT NUMBER	PARENT FILING DATE (day, month, year)	STATUS (patent and number, pending, abandoned)

Docket No. RD28753-1

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As a named inventor, I hereby appoint Practitioners at Customer Number 23413; Christian G. Cabou (Reg. No. 35,467, Jill M. Breedlove (Reg. No. 32,684), Donald S. Ingraham (Reg. No. 33,714), Norcen C. Johnson (Reg. No. 38,929), Patrick K. Patnode (Reg. No. 40,121) all of General Electric Company, One Research Circle, Bldg. K-1, Niskayuna, NY 12309; Ronald E. Myrick (Reg. No. 26,315), Henry J. Policinski, (Reg. No. 26, 621); Scott R. Hayden, (Reg. No. 41,821) and Catherine Winter, (Reg. No. 38,364) all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431-0001, jointly, and each of them severally, my/our attorney(s) or agents(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith

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Full name of sole or first joint inventor: **Joseph Shoulian Zhu**

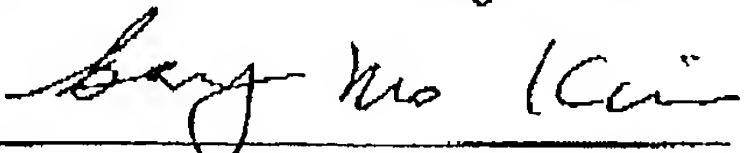
Inventor's signature: _____ Date: _____

Residence: 3900-1102 Oldfield Crossing Drive, Jacksonville, FL 32223

Citizenship: USA

Post Office Address: 3900-1102 Oldfield Crossing Drive, Jacksonville, FL 32223

Full name of second joint inventor: **Bang Mo Kim**

Inventor's signature:  Date: September 9, 2002

Residence: 1505 Wheatly Court, Schenectady, NY 12309

Citizenship: USA

Post Office Address: 1505 Wheatly Court, Schenectady, NY 12309

Docket No. RD28753-1

Full name of third joint inventor: **Jeffery L. Schworm**

Inventor's signature: *Jeffery L. Schworm* Date: 9/9/2002

Residence: 8840 State Highway 30, Delanson, NY 12053

Citizenship: USA

Post Office Address: 8840 State Highway 30, Delanson, NY 12053

Full name of fourth joint inventor: **Kevin C. Green**

Inventor's signature: _____ Date: _____

Residence: 21 Division St., Rutland, VT 05701

Citizenship: USA

Post Office Address: 21 Division St., Rutland, VT 05701

Full name of fifth joint inventor: **Paul Guilmette**

Inventor's signature: _____ Date: _____

Residence: 164 North Church St., Rutland, VT 05701

Citizenship: USA

Post Office Address: 164 North Church St., Rutland, VT 05701

Docket No. RD28753-1

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COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
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				Yes No
				Yes No

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Full name of sole or first joint inventor: **Joseph Shoulian Zhu**

Inventor's signature: _____ Date: _____

Residence: 3900-1102 Oldfield Crossing Drive, Jacksonville, FL 32223

Citizenship: USA

Post Office Address: 3900-1102 Oldfield Crossing Drive, Jacksonville, FL 32223

Full name of second joint inventor: **Bang Mo Kim**

Inventor's signature: _____ Date: _____

Residence: 1505 Wheatly Court, Schenectady, NY 12309

Citizenship: USA

Post Office Address: 1505 Wheatly Court, Schenectady, NY 12309

Docket No. RD28753-1

Full name of third joint inventor: **Jeffery L. Schworm**

Inventor's signature: _____ Date: _____

Residence: 8840 State Highway 30, Delanson, NY 12053

Citizenship: USA

Post Office Address: 8840 State Highway 30, Delanson, NY 12053

Full name of fourth joint inventor: **Kevin C. Green**

Inventor's signature: *Kevin C. Green* Date: 9/4/02

Residence: 21 Division St., Rutland, VT 05701

Citizenship: USA

Post Office Address: 21 Division St., Rutland, VT 05701

Full name of fifth joint inventor: **Paul Guilmette**

Inventor's signature: _____ Date: _____

Residence: 164 North Church St., Rutland, VT 05701

Citizenship: USA

Post Office Address: 164 North Church St., Rutland, VT 05701

Docket No. RD28753-1

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Docket No. RD28753-1

Full name of third joint inventor: **Jeffery L. Schworm**

Inventor's signature: _____ Date: _____

Residence: 8840 State Highway 30, Delanson, NY 12053Citizenship: USAPost Office Address: 8840 State Highway 30, Delanson, NY 12053Full name of fourth joint inventor: **Kevin C. Green**

Inventor's signature: _____ Date: _____

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FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 1212

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 06-1130
Deposit Account Name: Cantor Colburn



Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

SUBMITTED BY

Authorized Name: J. Michael Buchanan
Electronic Signature Mark: jmb
Date Signed: 20020913

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 30	103	\$ 180	10	\$ 180
Independent Claims: 6	102	\$ 84	3	\$ 252

Subtotal For Extra Claims Fees: \$ 432

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40

